STATE: MINNESOTA

Effective: July 1, 2004

TN: 04-10

Approved: SEP 0 3 7004 Supersedes: 02-22 ATTACHMENT 3.1-A Page 17s

4.b.		y and periodic screening, diagnosis, and treatment services:
		a) recreation therapy.
		b) socialization therapy.
		c) independent living skills therapy.
		Therapeutic components of preschool programs are provided by a team of multidisciplinary staff under the clinical supervision of a mental health professional. A multidisciplinary team must include at least one mental health professional and one or more of the following: a mental health practitioner under the clinical supervision of a mental health professional on the team, or a program staff person (teacher, assistant teacher, or aide) if the person meets the qualifications and training of a Level I mental health behavioral aide and is under the direction of a mental health professional: "Direction" has the same meaning as described on page 17p, subitems 1-3 for mental health behavioral aide services:
		Payment is limited to 72 hours of treatment in a calendar year unless authorization is obtained for additional hours within the same calendar year. The therapeutic components must be available at least one day a week for a minimum two hour block. Payment is limited to one two-hour block each day; and
	G.	therapeutic components of therapeutic camp programs. "Therapeutic components of therapeutic camp programs" means those alterative elements of a structured recreational program of treatment and care provided by:
		 licensed day programs; entities that meet the state licensing criteria for day programs, but operate no more than 30 days in any 12-month period; or
		3. accredited camps. The therapeutic components are the same as those described on pages 17r-17s for therapeutic components of preschool programs services.

STATE: MINNESOTA ATTACHMENT 3.1-A Page 17t

Effective: July 1, 2004

TN: 04-10

Approved: SEP 0 3 2004 Supersedes: 02-22

4.b. Early and periodic screening, diagnosis, and treatment services: (continued)

> Therapeutic components of therapeutic camp programs are provided by a team of multidisciplinary staff under the clinical supervision of a mental health professional. A "multidisciplinary team" must include at least one program staff person if the person meets the qualifications and training of a Level I mental health behavioral aide and is under the direction of a mental health professional and at least one mental health professional or mental health practitioner under the clinical supervision of a mental health professional. "Direction" has the same meaning as described on page 17p, subitems 1-3 for mental health behavioral aide services.

Payment is limited to 20 hours of treatment in a calendar year.

Payment is limited to the above components of family community support services, plus time; spent traveling to and from the site where family community support services are provided. Travel is paid for at the hourly medical assistance rate paid to a case manager for case management services provided in Supplement 1 to this Attachment. Only 40 hours of travel per client in any consecutive six-month period is paid. The 40-hour limit may not be exceeded on a calendar year basis unless prior authorization is obtained.

To be eligible for medical assistance payment, a mental health practitioner must receive clinical supervision from a mental health professional: However, a mental health practitioner will be paid if the practitioner maintains a consulting relationship with a mental health professional who accepts full professional responsibility and is present on-site for at least one observation during the first 12 hours in which the mental health practitioner provides family community support services. Thereafter, the mental health professional must be present on-site for observation as clinically appropriate when the mental health practitioner is providing individual family or group skills training; such observation must be a minimum of one clinical hour. The mental health professional must document his or her on-site presence in the child's record.

ATTACHMENT 3.1-A Page 17u

STATE: MINNESOTA Effective: July 1, 2004

TN: 04-10

Approved: SEP 0 7 2004 Supersedes: 02-22

4.b.	Early and periodic screening, diagnosis, and treatment services: (continued) The services specified in items A through R below are not eligible for medical assistance payment:			
	—A.	client outreach for the purpose of seeking persons who potentially may be eligible for family community support services;		
	В.	family community support services provided to a child who at the time of service has not had a diagnostic assessment to determine if the child has a severe emotional disturbance (or, if between ages 18 and 21, a person with serious and persistent mental illness), except that the first 30 hours of family community support services provided to a child who is later assessed and determined to have a severe emotional disturbance (or, if between ages 18 and 21, a person with serious and persistent mental illness) at the time services began is eligible for medical assistance payment;		
	С.	more than 68 hours of individual, family, or group skills training within any consecutive six-month period. The 68-hour limit may not be exceeded during any calendar year unless prior authorization is obtained;		
	D.	more than 24 hours of crisis assistance within any consecutive six-month period. This limit may not be exceeded during any calendar year, except in the case of an emergency, and prior authorization or after-the-fact authorization of the psychotherapy is obtained under State rules governing after-the-fact authorization;		
	E.	family community support services that exceed 92 hours in any combination of crisis assistance, and individual, family, or group skills training within any consecutive sixmonth period. The 92-hour limit may not be exceeded during any calendar year. Additional family community support services beyond 92 hours are eligible for medical assistance payment with prior authorization,		
	F.	crisis assistance and individual, family, or group skills training provided by a person who is not at least qualified as a mental health practitioner and who does not maintain a consulting relationship with a mental health professional who accepts full professional responsibility;		

STATE: MINNESOTA

Effective: July 1, 2004

TN: 04-10 Approved: 54-07 2004 Supersedes: 02-22 ATTACHMENT 3.1-A

Page 17v

4.b.		y and periodic screening, diagnosis, and treatment services: tinued)
	G.	family community support services provided at the same time as professional home-based mental health services;
	Н.	family community support services simultaneously provided with therapeutic support of foster care services;
	- I .	assistance in locating respite care and special needs day care, and assistance in obtaining potential financial resources, including federal assistance;
	J.	-medication monitoring;
	К.	family community support services not provided by a county board or eligible provider under contract to a county board;
	L.	family community support services provided at the same time by more than one mental health professional or practitioner unless prior authorization is obtained;
	М.	family community support services to a child or the child's family that duplicate health services funded under medical assistance mental health services; grants authorized according to the Children's Community-Based Mental Health Fund; the Minnesota Family Preservation Act; or the Minnesota Indian Family Preservation Act, except up to 60 hours of day treatment services within a six-month period provided concurrently with family community support services to a child with severe emotional disturbance are eligible for medical assistance payment without prior authorization if the child is:
		1. being phased out of day treatment services and phased into family community support services; or
		2. being phased into day treatment services and the family community support services and day treatment services are identified with the goals of the child's individual treatment plan.
		Prior authorization may be requested for additional hours of day treatment beyond the 60-hour limit.

ATTACHMENT 3.1-A

STATE: MINNESOTA

Effective: July 1, 2004

TN: 04-10

Approved:

Supersedes: SEP 20 32 2004

Page 17w

- Early and periodic screening, diagnosis, and treatment services: 4.b. (continued)
 - Family community support services are not covered unless the services provided to the family are directed exclusively to the treatment of the recipient;
- Mental health behavioral aide services provided by a personal care assistant;
- Services that are the responsibility of a residential or program license holder, including foster care providers;
 - Crisis hotlines; or
- Level I and Level II mental health behavioral aide services provided at the same time.
 - Therapeutic support of foster care services for children are the mental health training and support services and clinical supervision provided by mental health professionals or mental health practitioners to foster families caring for a child to provide a therapeutic family environment and support the child's improved functioning. For purposes of item 4.b., a child eligible for therapeutic support of foster care means a child under age 18 who has been determined, using a diagnostic assessment, to be a child with severe emotional disturbance, (or, if between ages 18 and 21, a person who has been determined to have a serious and persistent mental illness) who meets the functional criteria defined in Supplement 1 of this Attachment for purposes of targeted case management, or a child who meets one of the criteria listed on page 17a, items A-D for professional home-based mental health services. The number of foster children in a family receiving therapeutic support of foster care cannot exceed two, unless otherwise approved by the Department.

The diagnostic assessment must have determined that the child meets the functional criteria noted above and is in need of therapeutic support of foster care.

The services are for the purposes of enabling a child to improve or maintain emotional or behavioral functioning in order to reduce or prevent the reliance upon more intensive, restrictive, and costly services, or to reunify and reintegrate the child with the child's family after

STATE: MINNESOTA ATTACHMENT 3.1-A Effective: July 1, 2004 Page 17x

TN: 04-10

Approved: Stp 6 1 2004 Supersedes: 02-22

4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

out-of-home placement.

The entities eligible to provide therapeutic support of foster care services are the same as those for family community support services, page 17j. These entities provide therapeutic support of foster care services primarily in the child's foster home, but may also provide them in the child's day care or school, the home of a relative of the child, and a recreational, employment or leisure setting.

A provider of therapeutic support of foster care must meet the qualifications in items A through E, below:

- A. the provider must be skilled in the delivery of therapeutic support services to foster families caring for children with severe emotional disturbance. Mental health practitioners must receive 20 hours of continuing training every two years. The topics covered must conform to those listed in State rules governing training for family community support services.
- B. mental health practitioners cannot have caseload sizes of more than eight children.
- c. if applicable, and the county board has not done so, the provider must provide or assist the child or the child's family in arranging mental health crisis assistance services for the child and the child's foster family that must be available 24 hours per day, seven days a week.
- before providing therapeutic support of foster care services, assuring that the agency with which it contracts has adequate capacity to recruit mental health professionals and practitioners to provide such services.
- E. the provider must ensure that therapeutic support of foster care services are given in a manner is consistent with national core values for foster care treatment.

ATTACHMENT 3.1-A

Page 17y

STATE: MINNESOTA

Effective: July 1, 2004

TN: 04-10

SEP 0 7 2004 Approved:

Supersedes: 02-22

4.b. Early and periodic screening, diagnosis, and treatment services: (continued)

A provider of therapeutic support of foster care services must be capable of providing all of the components specified in items A-C on pages 17b-17c for professional home-based mental health services.

Payment is limited to the above components, plus time spent traveling to and from the site where therapeutic support of foster care services are provided, up to 128 hours of travel per client in any consecutive six month period. These limits apply on a calendar year basis as well. Travel is paid for at the hourly medical assistance rate paid to a case manager for case management services provided in Supplement 1 to this Attachment. Additional travel hours may be approved as medically necessary with prior authorization.

To be eligible for medical assistance payment, a mental health practitioner must receive clinical supervision from a mental health professional. However, a mental health practitioner will be paid if the practitioner maintains a consulting relationship with a mental health professional who accepts full professional responsibility and is present on-site for at least one observation during the first 12 hours in which the mental health practitioner provides the individual, family, or group skills training. Thereafter, the mental health professional must be present on-site for observation as clinically appropriate when the mental health practitioner is providing individual family or group skills training; such observation must be a minimum of one clinical hour during the first 12 hours. The mental health professional must document his or her on-site presence in the child's record.

The services specified in items A through J below are eliqible for medical assistance payment:

therapeutic support of foster care provided to a foster family with a child who at the time of the service has not had a diagnostic assessment to determine if the child has a severe emotional disturbance (or, if between ages 18 and 21, has not had a diagnostic assessment to determine if the person has a serious and persistent mental illness), except that the first 30 hours of therapeutic support of foster care services provided to a foster family with a child who is later assessed and determined to have a severe emotional disturbance (or, if between ages 18 and 21, a serious and

STATE: MINNESOTA

Effective: July 1, 2004

TN: 04-10 Approved:

SEP 0 7 2004

Supersedes: 02-22

ATTACHMENT 3.1-A Page 17z

4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

persistent mental illness) at the time services began is eligible for medical assistance payment;

- B. more than 192 hours of individual, family, or group skills training within any consecutive six-month period. The 192-hour limit may not be exceeded during any calendar year unless prior authorization is obtained;
- C. more than a combined total of 48 hours within any consecutive six-month period of individual, family, group, and multiple-family group psychotherapy. The 48-hour limit may not be exceeded during any calendar year, except in the case of an emergency if prior authorization or after-the-fact authorization of the psychotherapy is obtained;
- D. therapeutic support of foster care services that exceed 240 hours in any combination of the psychotherapies and individual, family, or group skills training within any consecutive six-month period. Additional therapeutic support of foster care beyond 240 hours are eligible for medical assistance payment with prior authorization;
 - E. psychotherapy provided by a person who is not a mental health professional;
- F. individual, family, or group skills training provided by a person who is not at least qualified as a mental health practitioner and who does not maintain a consulting relationship with a mental health professional who accepts full professional responsibility;
 - G. therapeutic support of foster care provided by a county board, provider under contract to a county board, or an IHS or 638 facility if the county board or provider is not capable of providing all the components noted on pages 17x-17y;
 - H. therapeutic support of foster care provided at the same time by more than one mental health professional or mental health practitioner unless prior authorization is obtained;
 - I. therapeutic support of foster care to a foster family that duplicate health services funded under medical assistance mental health services; grants authorized according to the Children's Community-Based Mental Health Fund; the Minnesota

STATE: MINNESOTA ATTACHMENT 3.1-A Effective: July 1, 2004 Page 17aa

TN: 04-10

Approved: SEP (17 2004 Supersedes: 02-22

Supersedes: 02-22

Early and periodic screening, diagnosis, and treatment services: 4.b. (continued) Family Preservation Act; or the Minnesota Indian Family Preservation Act, except: up to 60 hours of day treatment services within a sixmonth period provided concurrently with therapeutic support of foster care to a child with severe emotional disturbance are eligible for medical assistance payment without prior authorization if the child is: being phased out of day treatment services and phased into therapeutic support of foster care; or being phased out of therapeutic support of foster care and day treatment services are identified within the goals of the child's individual treatment plan. Prior authorization may be requested for additional hours of day treatment beyond the 60-hour limit; if the mental health professional providing the child's therapeutic support of foster care anticipates the child or the child's family will need outpatient psychotherapy services upon completion of the therapeutic support of foster care, then one session of individual psychotherapy per month for the child or one session of family psychotherapy per month for the child's family is eligible for medical assistance payment during the period the child receives therapeutic support of foster care. For purposes of the child's transition to outpatient psychotherapy, the child may receive two additional psychotherapy visits per six-month episode of therapeutic support of foster care if the mental health professional providing the therapeutic support of foster care works with the provider of outpatient psychotherapy to facilitate the child's transition from therapeutic support of foster care to outpatient psychotherapy services and to coordinate the child's mental health services.

Services provided to the foster family that are not directed exclusively to the treatment of the recipient.

ATTACHMENT 3.1-A Page 17bb

STATE: MINNESOTA

Effective: July 1, 2004

TN: 04-10

Approved: SEP 0 7 2004 Supersedes: 02-22

4.b. <u>Early and periodic screening, diagnosis, and treatment services:</u> (continued)

1. Children's therapeutic services and supports for children is a flexible package of mental health services for children requiring varying therapeutic and rehabilitative levels of intervention provided by mental health professionals and mental health practitioners under the clinical supervision of mental health professionals. The services are timelimited interventions that are delivered using various treatment modalities and combinations of services designed to realize treatment outcomes identified in a recipient's individual treatment plan. For the purposes of item 4.b., a child eligible for therapeutic services and supports means a child under age 18 who has been determined, using a diagnostic assessment, to have emotional disturbance (or, if between ages 18 and 21, to have a mental illness).

A diagnostic assessment by a mental health professional must have determined that the child is in need of children's therapeutic services and supports to address an identified disability and functional impairment.

The following are eligible to provide children's therapeutic services and supports:

- An entity certified by the Department and operated by a county.
- B. An noncounty entity certified by the Department based on the recommendation by the host county.
- C. A facility of the Indian Health Service or a facility owned or operated by a tribe or tribal organization and funded by either Title I of the Indian Self-Determination and Education Assistance Act, P.L. 93-638, as amended, or Title V of the Indian Self-Determination and Education Assistance Act, P.L. 106-260, operating as a 638 facility. A facility of the Indian Health Service or a 638 facility must be certified by the Department.